

Application Kit

Commodity

Documents Required

Pan Card Address Proof Cancelled Cheque Income Proof

Instructions / checklist for filling KYC form



A. Important points

1. Self attested copy of PAN card is mandatory for all clients, including Promoters /Partners /Karta /Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.

2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.

3. If any proof of identity or address is in a foreign language, then translation into English is required.

4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.

5. If correspondence & permanent address are different, then proofs for both have to be submitted.

6. Sole proprietor must make the application in his individual name & capacity.

7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory. 8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.

9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted along with other statutory approvals required for investment in commodities.

10. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI)

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.

2. PAN card with photograph.

3. Identity card / document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA)

List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.

3. Bank Account Statement/Passbook - Not more than 3 months old.

4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.

5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary Public / Elected representatives to the Legislative Assembly/ Parliament / Documents issued by any Govt. or Statutory Authority.

6. Identity card/document with address, issued by any of the following: Central/State Government and its departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.

7. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and /or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc. 2. Investors residing in the state of Sikkim (subject to the continued exemption granted by the Government).

E. List of people authorized to attest the documents

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).

2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of non-individuals, additional documents are to be collected from the non-individual entity, over and above the POI and POA, as mentioned below.

| Type of entity | Documents required |
|--------------------|--|
| Corporate | Copy of balance sheets for the last 2 financial years (to be submitted every year) Copy of latest share holding pattern, including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover regulations, duly certified by the company secretary/whole time director/MD (to be submitted every year) Photograph, POI, POA, PAN and DIN numbers of whole time directors/ two directors in charge of day to day operations Photograph, POI, POA & PAN of individual promoters holding control either directly or indirectly Copies of Memorandum and Articles of Association and Certificate of Incorporation Copy of Board Resolution for the investment in commodity market Copy of Board Resolution or declaration (on letterhead) naming the persons authorised to deal in the commodity derivatives on behalf of the company. |
| Partnership firm | Copy of balance sheets for the last 2 financial years (to be submitted every year) Copy of registration/partnership deed. Authorised signatories list with specimen signatures Photograph and copies of POI, POA & PAN of the partners. |
| Trust | Copy of balance sheets for the last 2 financial years (to be submitted every year) Copy of registration/trust deed. List of trustees certified by managing trustees/CA Photograph and copies of POI, POA & PAN of the trustees. |
| HUF | PAN of HUF Deed of declaration of HUF & list of copartners Bank statement/pass-book of HUF Photograph, POI, POA & PAN of Karta |
| Government bodies | Self certification on letterhead Authorised signatories list with specimen signatures |
| Registered society | Copy of Registration Certificate under Societies Registration Act List of Managing Committee Members Committee resolution for persons authorised to act as authorised signatories with specimen signatures True copy of society rules and bye laws certified by the Chairman/Secretary |

G. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/ and IFSC Code of the bank should be submitted.

H. Proof of income: A recent month salary slip/6-month bank statement/demat holding statement

I. Demat master or recent holding statement issued by DP bearing name of the client.

For Individuals:

a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office. For non-individuals:

a. Form need to be initialized by all the authorized signatories.

b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/others & their specimen signatures.

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| 0 1 | Name of the Decument | Drief Circlifference of the Decomposit | Dago No |
|--------|---|--|-----------------|
| S. No. | Name of the Document | Brief Significance of the Document | Page No. |
| MAND | ATORY DOCUMENTS AS PRES | CRIBED BY SEBI & EXCHANGES | |
| 1 | Account Opening Form | A. KYC form - Document captures the basic information about the constituent and an instruction/checklist. | 3 |
| 2 | Tariff Sheet | Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the commodity exchange(s). | 7 |
| 3 | Rights and Obligations of Members, Authorized Persons and Clients | Document stating the Rights & Obligations of stock broker /trading member and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading). | Annexure |
| 4 | Uniform Risk Disclosure Document (RDD) | Document detailing risks associated with dealing in the commodity market, including commodity options. | Annexure |
| 5 | Do's and Don'ts for the Investors | Document detailing do's and dont's for trading on exchange for education of investors | Annexure |
| 6 | Policies and Procedures | Document describing significant policies and procedures of the Broker. | Annexure |
| VOLUN | ITARY AND OPTIONAL DOCUM | IENTS AS PROVIDED BY THE STOCK BROKER | |
| 7 | Annexure - N | Disclosure information | 8 |
| 8 | Annexure - O | Receipt of KYC, RDD, Rights & Obligations and Do's and Don't's | 8 |
| 9 | Annexure - Q | Letter of authority | 9 |
| 10 | Appendix - A | Electronic Contract Note (ECN) declaration | 10 |
| 11 | Annexure - P | Additional Rights & Obligations | Annexure |
| 12 | Nomination Details | Collects details of any nomination made for the account | Annexure 1 A |

Membership Details

Name of the Trading Member : Zerodha Commodities Private Limited Exchange registration number : MCX - 46025 | NCDEX - 1138 SEBI Registration number : INZ000038238

Registered Office

153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078. Ph : 080-40402020

Correspondence Address: Same as above

Compliance Officer : Venu Madhav, Phone No. & Email ID: 080-40402020, compliance@zerodha.com **CEO :** Nithin Kamath, Phone No. & Email ID: 080-40402020, ceo@zerodha.com For any grievance please contact Zerodha at the above address or email complaints@zerodha.com & Phone no. 91-8040402020.

For any grievance/dispute please contact Zerodha at the above address, email ID or phone number. In case not satisfied with the response, please contact the concerned exchange at:

1.MCX at grievance@mcxindia.com or Phone No.: 022-67318888

2.NCDEX at askus@ncdex.com or Phone No.: 022-66406084.

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Know Your Client (KYC) Application Form - for Individuals Please fill this form in English and BLOCK Letters

(Please tick the box on the left margin of the appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding window)

| I | For office use only (To be filled to Application Type*In Net Net NetAccount Type*In Net Net | ew 🗆 Upda | ate KY | C Number isk customers) | □ Small | | |
|---|---|---|---|---|------------------------------|---|------------|
| | A. Identity details | | | | | | |
| | 1. Name (Same as ID Proof) | | | | | Photograph Please affix your | I |
| | 1a. Maiden Name (If any) 2. Father's/Spouse's Name 2a. Mother's Name | | | | | recent passport siz photograph and sign across it | 'e |
| | 3a. Gender □ Male □ Female 4a. Citizenship □ Indian □ 0 4b. Residential Status □ Resid | ther | (ISO | □ Single □ Married 3166 Country Code dian □ Person of | e 📄) | | Υ |
| | Tick if applicable □ Residence ISO 3166 Country Code of Juriso Tax Identification Number or Equi | liction of residence | | outside India e of birth |]ISO3166 Country | Code of Birth | |
| | 5a. PAN 5b. Unique Identification Number 6. Proof of Identity Submitted | | er (Please Spec | | | | |
| | B. Contact Details Telephone (Office) Telephone (Residence) | | | bile No | | | |
| | C. Address details Address 2. Residence/Correspondence | Type: □ Residenti Address | al 🗆 Busine | ss 🗆 Unspecifie | d | | |
| | Address | | | | | | |
| | City/Town State/U.T Code Specify the Proof of Address Su | bmitted for Residen | District | dence Address | Pin Code Country/ISO Code | | |
| | D. DECLARATION I hereby declare that the details furnishe I undertake to inform you of any change false or untrue or misleading or misrepro I hereby consent to receiving information number/email address Place : | s therein, immediately. I esenting, I am aware tha | n case any of the a t I may be held lial | above information is fou ble for it. | ind to be | F2 Client Signa M Y Y Y | ature Y |
| | FOR OFFICE USE ONLY | | | | | | |
| | In Person Verification (IPV) Details: Name of the Person who has done the I | D\∕· | | | | | |
| | Designation: | | | | | | |
| | Name of the Organization: Zerodha Com | | | | | | |
| | Date of the IPV: D D M M | Y Y Y Y | Signature of the I | Person who has done th | ne IPV Seal/St | amp of the Intermedia | ıry |
| | Originals Verified and Self-Attested Doc | ument Copies Received | | | | | |
| | | | | Date | Signature of | the Authorized Signator | y |



□ 3. Permanent Address

| Address | | | |
|--|--|--------------------------------------|--------------|
| City/Town | District | Pin Code | |
| State/U.T Code | | Country/ISO Code | |
| □ 4. Address in the jurisdiction details where a | pplicant is resident outside India | a for tax purpose (if applicable) | |
| Address | | | |
| City/Town | District | Pin Code | |
| State/U.T Code | | Country/ISO Code | |
| E. Details of related person (In case of additi | onal related persons, please fill b | pelow details) | |
| \Box Addition of Related Person \Box Deletion of R | elated Person | | |
| KYC Number of Related Person (if available) | | | |
| Related Person Type \Box Guardian of Minor | \Box Assignee \Box Authorized | Representative | |
| Name | | | |
| (If KYC number & name are provided, below d Proof Of Identity Of Related Person | etails are optional) | | |
| Identity Proof Submitted | Number | | |
| Expiry Date : DDMMYYYY | | | |
| Others (any document notified by the central g | , , | ntification No | |
| Simplified Measures Account-Document Type | | ntification No | |
| F. Bank account details Account Type: Savings Current Otherset | ner 🗆 | | |
| Bank Name | | | |
| Branch Address Account Number | | | |
| MICR Number | I I I I I I I I I I I I I I I I I I I | SC Code | |
| | 5-10 Lakh 🗆 10-25 La | |) |
| Or Net-worth as ondate_ | (Net wi | or ar should hot be older than Tyear |) |
| Occupation Private Sector □ Public Sector □ Gover | nment Service 🗆 🛛 Business 🗆 | Professional 🗆 Agriculturist 🗆 | □ Retired □ |
| Housewife \Box Student \Box Self Employe | |) | |
| Please tick, as applicable: Politically Exposed | | | |
| | osed Person \square Not related to a | | |
| Mode in which you wish to receive the RDD, F | Rights & Obligations, and Guidan | ice Note: Physical 🗆 | Electronic 🗆 |
| H. Past actions | | | |

Details of any action/proceedings initiated/pending/ taken by FMC/SEBI/stock exchange(s)/commodity exchange(s)/any other authority against the applicant/constituent or its partners, promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years______

I. Depository account(s) details

NSDL 🗆

CDSL 🗆

| Depository Participant Name | | | | | | | | | | | | | | | | |
|--|------|------|-------|-------|-------|--------|------|-------|---|--|--|--|--|--|--|--|
| Beneficiary Name | | | | | | | | | | | | | | | | |
| Depository ID | | | | | | | | | Beneficiary ID | | | | | | | |
| Note : Provide a copy of demat master re | epor | t or | a rec | ent h | oldir | ng sta | atem | ent i | ssued by DP bearing name of the client. | | | | | | | |

J. Dealings through other members

Whether dealing with any other stock broker/sub-broker (in case dealing with multiple members, provide details of all in a separate sheet containing all the information as mentioned below)

| Name of Member/AP | | Exchange(s) | | | | | | |
|--|----|------------------------------|--|--|--|--|--|--|
| Website | | Exchange registration number | | | | | | |
| Client code | | Exchange registration number | | | | | | |
| Registered office addres | SS | | | | | | | |
| Name of Member with whom AP is registered | | | | | | | | |
| Details of disputes/dues pending from/to such stock broker | | | | | | | | |

K. Introducer details (optional)

| Name of the Intro | odu | cer | | | | | | | | | | |
|--|------|-----|----|--|--|--|--|--|--|--|----------------------|--|
| Status of the Introducer - Sub-broker/Remisier/Authorized Person/Existing Client | | | | | | | | | | | | |
| Address of the In | itro | duc | er | | | | | | | | | |
| Phone Number | | | | | | | | | | | Signature (optional) | |
| | | | | | | | | | | | | |

L. Trading preferences / experience

| No Prior | Experience | |
|----------|------------|--|
|----------|------------|--|

Years in Commodities

Years in other Investment Related Field

| Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client. |
|---|
|---|

| Name of Exchange | Date of consent | Signature |
|------------------|-----------------|---------------------------|
| MCX (F&O) | | Client Signature 💉 F3 (a) |
| NCDEX | | Client Signature 💉 F3 (b) |

If, in future, the client wants to trade on any new segment, a separate authorization/letter should be taken from the client by the broker.

M. Additional details

| (I) Whether you wish to receive communication from member in electronic form on your email ID | Yes 🗆 | No 🗆 |
|---|-------|------|
| If yes then please fill in Appendix - A | | |

N. Sales tax registration details (As applicable)

| | Name of state | Registration number | Expiry date |
|---------|---------------------|---------------------|-------------|
| State | | | |
| | | | |
| Central | Registration number | | |
| Gentral | Expiry date | | |

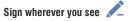
0. VAT details (As applicable)

| Name of state | Registration number | Expiry date |
|---------------|---------------------|-------------|
| | | |
| | | |

P. Nomination details (Please tick the appropriate options)

 \Box I / We do wish to nominate (Fill the Annexure 1A)

 \Box I / We do not wish to nominate



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Declaration

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained and understood the contents of the tariff sheet and all voluntary/non-mandatory documents.

3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and 'Dos and Don'ts'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on Member's designated website, if any.

| Date | D | D | \mathbb{N} | \mathbb{N} | Y | Y | Y | Y |
|------|---|---|--------------|--------------|---|---|---|---|

Place :

F4 Client Signature

For office use only

UCC Code allotted to the Client _____

| | Documents verified with Originals | Client Interviewed By | In-Person Verification done by |
|-------------------------|-----------------------------------|-----------------------|--------------------------------|
| Name of the Employee | | | |
| Employee Code | | | |
| Designation of employee | | | |
| Date | | | |
| Signature | | | |

I/ We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Date: D D M M Y Y Y Y

Seal/Stamp of the stock broker

Tariff sheet

То

Zerodha Commodities Private Limited

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony, Opp. Clarence School, Bangalore - 560078

Charges for Zerodha trading services

I/ We agree to pay the charges as per following charge structure for our trading account with Zerodha effective from the time of account opening.

Client ID: _____

| Charge Head | Brokerage/Charges |
|-------------------|--|
| Commodity futures | 0.01% of turnover or Rs. 20 per executed order, whichever is lower |
| Commodity options | Rs. 20 per executed order |

Clients who opt to receive physical contract notes will be charged Rs. 20 per contract note plus courier charges at actual. In addition to the brokerage charge the following charges will also be levied.

Schedule of Charges

Note : Brokerage will not exceed the rates specified by SEBI and the Exchanges. All Statutory and Regulatory charges will be levied at actuals. Brokerage is also charged on expired, exercised, and assigned Options contracts. Turnover for Options is calculated as (Strike + Premium)*Lot Size

Detailed explanation of the Schedule of Charges is available online at : https://zerodha.com/charge-list

Date





Disclosure Information

Dear Sir/Madam,

This is to inform you as per Rules, Regulations and Bye-laws of Multi Commodity Exchange of India Ltd. (MCX) that we do client based trading and Pro-account trading and we are not indulged in portfolio management services.

Regards, Zerodha Commodities Private Limited

I/We acknowledge receipt of information given above by Zerodha Commodities Private Limited that they do client base trading and Pro-account trading and they are not indulged in portfolio management services.

То

Zerodha Commodities Private Limited

153/154, 4th Cross, Dollars Colony, 4th Phase, JP Nagar, Opp. Clarence Public School, Bangalore - 560078.

Sub: Acknowledgement

This is to acknowledge the receipt of following documents. I further state and confirm that I have read and understood all the clauses of aforesaid documents.

| SI. No | Document |
|--------|--|
| 1 | Duly Executed Copy of KYC |
| 2 | Rights and Obligations |
| 3 | Risk Disclosure Document (RDD), including RDD for trading options |
| 4 | Guidance Note - Do's and Dont's for trading on the Exchange(s) for investors |
| 5 | Policies and Procedures |
| 6 | Additional Rights & Obligations |

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of Zerodha Commodities Pvt. Ltd.





Annexure - O

Annexure - N

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Letter of Authority (Voluntary)

Annexure - Q

Zerodha Commodities Private Limited

#153/154, 4th Cross Dollars Colony, Opp. Clarence Public School, J.P Nagar 4th Phase, Bangalore - 560078

Dear Sir,

I am/We are dealing in commodities with you and in order to facilitate ease of operations, I/we authorize you as under:

1. Authorization for Payment of Depository Participant Charges: I am having depository account with Zerodha Commodities Pvt Ltd. I request you to debit my trading Account against the demand raised by the depository division of Zerodha Commodities Pvt Ltd. towards my Depository Charges. I also agree to maintain the adequate balance in my trading account / pay adequate advance fee for the said reason.

2. Authorization to Retain Credit Balance: I / We request you to retain credit balance in any of my / our account and to use the idle funds towards our margin/future obligations at the Exchanges unless I/ we instruct you otherwise.

3. Authorization for Communication of Financial Products: I/We have opened an account with you and am/are interested in knowing about other financial products. I/We authorize you, your group companies and associates to keep me/us informed with any financial product which Zerodha Commodities Private Limited, its group companies and associates presently issue, deal in, or distribute or may, from time to time, launch, issue, deal in or distribute; through E-mail, SMS,telephone, print media or otherwise. This is without legal obligation on you, your group companies and associates to so inform and you or they may, in their discretion, discontinue sending such information.

4. Running Account Authorization: I/We am /are desirous of regularly dealing in commodity market on Commodity Exchanges and request you to maintain a running account for funds and commodities on/our behalf without settling the account on settlement of each transaction on my/our behalf. I/We further request you to retain all amounts payable and commodities receivable by me/us until specifically requested by me/us in writing to be settled or to be dealt with in any other manner. I/We understand and agree that no interest will be payable to me/us on the amounts or commodities so retained with you pending periodical settlement. This running account authorization would continue until it is revoked by me.

I/We request you to settle my fund and securities account (Choose one Option)

- \Box Once in a calendar Month
- $\hfill\square$ Once in every calendar Quarter

except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt

All accounts with credit balances of Rs. 50000/- or lesser may be automatically retained and transfer of funds to the bank account may not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos.MCX/INSP/325/2016 & NCDEX/COMPLIANCE-015/2016/238. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.

5. I understand that in case my account is in debit balance @0.05% and/or if I have insufficient funds to manage my trading positions, I will be charged interest as delayed payment charges. I confirm having read the rules & regulation pertaining to the levy of such interest under the policies & procedures page on Zerodha's website.

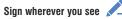
6. Authorization for not Providing Confirmation Slips: I/We authorize you not to provide me/us Order Confirmation /Modification/Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from contract notes issued by you.

7. Authorization for Providing Financial Ledger in Digital Form: I/we hereby authorize you to send me/us the financial ledger in the digital form in the prescribed formed at my/our E-mail ID/Address.

| Date | D | D | M | M | Y | Y | Y | Y |
|------|---|---|---|---|---|---|---|---|

Place :







Electronic Contract Note (ECN) declaration (Voluntary)

To,

Zerodha Commodities Private Limited

#153/154, 4th Cross Dollars Colony, Opp. Clarence Public School, J.P Nagar 4th Phase, Bangalore - 560078

Dear Sir,

Ι,

a client with Member Zerodha Commodities Private Limited of MCX/NCDEX Exchange undertake as follows:

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ordered by me.

• I have access to a computer and am a regular Internet user, having sufficient knowledge of handling the email operations.

- The email ID mentioned below is mine. This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other Indian language known to me.

• I am aware that non-receipt of bounced email notification by the member shall amount to delivery of the contract note at the above email ID.

The above declaration and guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same. *(The email ID must be written in the client's own handwriting in the space below.)

| Name | | | | | | | | |
|-----------|------|------|------|---|---|--------------------|------|------------------|
| PAN | | | | Τ | | Unique Client Code | | |
| Address : | | | | | _ | | | |
| | | | | | | | | |
| Date : | | | | | | | | |
| Place : | | | | | | | 🖍 F9 | Client Signature |
| | | | | | F | or office use only | | |
| | | | | | | | | |

| Verification of cl | ient signature done by | Name of the designated officer of the Member | er |
|--------------------|------------------------|--|----|
| Signature | | Date D D M M Y Y Y | Y |

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Nomination Details - Annexure 1A

\Box Zerodha

Zerodha Securities Private Limited

□ Zerodha Commodities Private Limited

Note that only 1st Nominee will be considered for Zerodha and Zerodha Commodities Pvt. Ltd. accounts.

I/We the sole holder/joint holders/guardian (in case of minor) hereby declare that:

- □ I/We do not wish to nominate any one for this demat account.
- □ I/We nominate the following person who is entitled to receive security balances lying in my/our demat account, particulars whereof are given below, in the event of my/our death.

Nomination Details

| Up to 3 nominations can be made | Details of 1st Nominee | Details of 2nd Nominee | Details of 3rd Nominee |
|---|-------------------------------|-------------------------------------|------------------------------|
| Name of the nominee(s) (Mr./Ms.) | | | |
| Share of each nominee Distribute equally | | | |
| [If not equally shared, please specify %age] | Any odd lot after division sh | all be transferred to the first nor | ninee mentioned in the form. |
| Relationship With the Applicant (if any) | | | |
| Address of nominee(s) | | | |
| | | | |
| | | | |
| Mobile/Telephone no. of nominee(s) | | | |
| Email ID of nominee(s) | | | |
| Proof of identity submitted | | | |
| | | | |
| Fill below details only if the nominee is a | minor | | |
| Date of birth | | | |
| Name of the guardian | | | |
| Address of guardian(s) | | | |
| | | | |
| | | | |
| Mobile/Telephone no. of guardian(s) | | | |
| Email ID of guardian(s) | | | |
| Relationship of guardian with nominee | | | |
| Proof of identity submitted | | | |
| | | | |

Details of the Witness

| Witnesses (Only applicable in case the account holder has m | ade nomination) |
|---|-----------------|
| Witness Name | |
| Address | Address |
| | |
| | |
| Signature | Signature |

| | First/Sole Holder | | | | |
|-------------|-----------------------------------|------------|---------------|------|--------------|
| F 10 | or Guardian (in case of Minor) | S 1 | Second Holder | 🖍 T1 | Third Holder |

Acknowledgement

| • | |
|--|---------|
| We have received the nomination request for the account with client ID | |
| Account holder's name | |
| Received by | |
| Signature of authorised sig | gnatory |
| | |
| 1 | |

| Nomination Registration No. | Dated |
|-----------------------------|-------|
| | |